



# Dynamic Membership for Regular Languages

---

**Antoine Amarilli**<sup>1</sup>, Louis Jachiet<sup>1</sup>, Charles Paperman<sup>2</sup>

July 14, 2021

<sup>1</sup>Télécom Paris

<sup>2</sup>Université de Lille

## Problem: dynamic membership for regular languages

- Fix a **regular language**  $L$ 
  - E.g.,  $L = (ab)^*$
- Read an **input word**  $w$  with  $n := |w|$ 
  - E.g.,  $w = abbbab$

## Problem: dynamic membership for regular languages

- Fix a **regular language**  $L$ 
  - E.g.,  $L = (ab)^*$
- Read an **input word**  $w$  with  $n := |w|$ 
  - E.g.,  $w = abbbab$
- **Preprocess** it in  $O(n)$ 
  - E.g., we have  $w \notin L$

## Problem: dynamic membership for regular languages

- Fix a **regular language**  $L$   
→ E.g.,  $L = (ab)^*$
- Read an **input word**  $w$  with  $n := |w|$   
→ E.g.,  $w = abbbab$
- **Preprocess** it in  $O(n)$   
→ E.g., we have  $w \notin L$
- **Maintain** the membership of  $w$  to  $L$  under **substitution updates**  
→ E.g., replace character at position 3 with  $a$ : we now have  $w \in L$

# Design choices

- Model: **RAM model**
  - Cell size in  $\Theta(\log(n))$
  - Unit-cost arithmetics
- Updates: **only substitutions** (so  $n$  never changes)
  - Otherwise, already **tricky** to maintain the current state of the word
- Memory usage: always **polynomial in  $n$**  by definition of the model
  - Our upper bounds only **need  $O(n)$  space**
  - The lower bounds apply **without this assumption**
- Preprocessing:
  - The upper bounds only **need  $O(n)$  preprocessing**
  - The lower bounds apply **without this assumption**

## A general-purpose algorithm in $O(\log n)$



## A general-purpose algorithm in $O(\log n)$

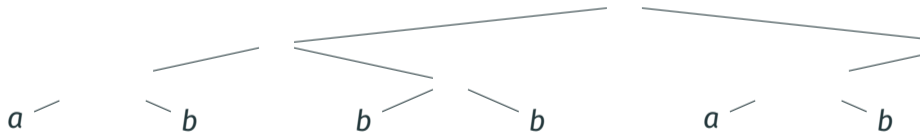


- Build a **balanced binary tree** on the input word  $w = abaabb$

## A general-purpose algorithm in $O(\log n)$



- Build a **balanced binary tree** on the input word  $w = abaabb$

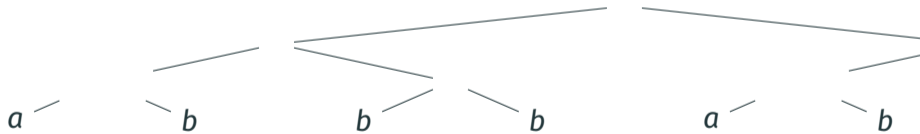




## A general-purpose algorithm in $O(\log n)$



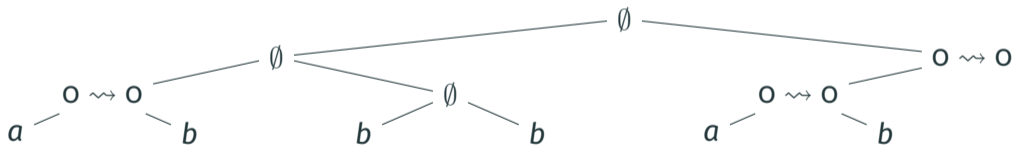
- Build a **balanced binary tree** on the input word  $w = abaabb$
- Label each node  $n$  by the **transition monoid** element: all pairs  $q \rightsquigarrow q'$  such that we can go from  $q$  to  $q'$  by reading the factor below  $n$



## A general-purpose algorithm in $O(\log n)$



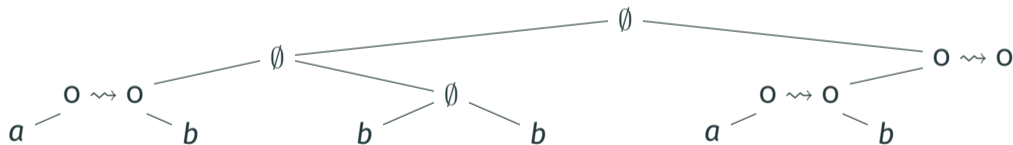
- Build a **balanced binary tree** on the input word  $w = abaabb$
- Label each node  $n$  by the **transition monoid** element: all pairs  $q \rightsquigarrow q'$  such that we can go from  $q$  to  $q'$  by reading the factor below  $n$



## A general-purpose algorithm in $O(\log n)$



- Build a **balanced binary tree** on the input word  $w = abaabb$
- Label each node  $n$  by the **transition monoid** element: all pairs  $q \rightsquigarrow q'$  such that we can go from  $q$  to  $q'$  by reading the factor below  $n$



- The **tree root** describes if  $w \in L$
- We can update the tree for each substitution in  $O(\log n)$
- Can be improved to  $O(\log n / \log \log n)$  with a log-ary tree

## Can we do better than $O(\log n)$ ?

For our language  $L = (ab)^*$  we can handle updates in  $O(1)$ :

## Can we do better than $O(\log n)$ ?

For our language  $L = (ab)^*$  we can handle updates in  $O(1)$ :

- Check that  $n$  is **even**
- Count **violations**:  $a$ 's at **even positions** and  $b$ 's at **odd positions**
- Maintain this counter **in constant time**
- We have  $w \in L$  iff **there are no violations**

## Can we do better than $O(\log n)$ ?

For our language  $L = (ab)^*$  we can handle updates in  $O(1)$ :

- Check that  $n$  is **even**
- Count **violations**:  $a$ 's at **even positions** and  $b$ 's at **odd positions**
- Maintain this counter **in constant time**
- We have  $w \in L$  iff **there are no violations**

Question: **what is the complexity of dynamic membership**, depending on the fixed regular language  $L$ ?

# Dynamic word problem for monoids

To answer the question, we study the **dynamic word problem for monoids**:

- Problem definition:
  - Fix a **monoid**  $M$  (set with associative law and neutral element)
  - **Input**: word  $w$  of elements of  $M$
  - Maintain the **product** of the elements under substitution updates

# Dynamic word problem for monoids

To answer the question, we study the **dynamic word problem for monoids**:

- Problem definition:
  - Fix a **monoid**  $M$  (set with associative law and neutral element)
  - **Input**: word  $w$  of elements of  $M$
  - Maintain the **product** of the elements under substitution updates
- This is a **special case** of dynamic membership for regular languages
  - e.g., it assumes that there is a **neutral element**
- This problem was studied by [Skovbjerg Frandsen et al., 1997]:
  - in  $O(1)$  for **commutative monoids**
  - in  $O(\log \log n)$  for **group-free monoids**
  - in  $\Theta(\log n / \log \log n)$  for a certain class of monoids



## Our results on the dynamic word problem for monoids

**ZG**: in  $O(1)$

not in  $O(1)$ ?

- We identify the class **ZG** satisfying  $x^{\omega+1}y = yx^{\omega+1}$ :
  - for any monoid **in ZG**, the problem is **in  $O(1)$**
  - for any monoid **not in ZG**, we can reduce from a problem that we **conjecture is not in  $O(1)$**

# Our results on the dynamic word problem for monoids

**ZG**: in  $O(1)$

**SG**: in  $O(\log \log n)$   
not in  $O(1)$ ?

All: in  $\Theta(\log n / \log \log n)$

- We identify the class **ZG** satisfying  $x^{\omega+1}y = yx^{\omega+1}$ :
  - for any monoid **in ZG**, the problem is **in  $O(1)$**
  - for any monoid **not in ZG**, we can reduce from a problem that we **conjecture is not in  $O(1)$**
- We identify the class **SG** satisfying  $x^{\omega+1}yx^{\omega} = x^{\omega}yx^{\omega+1}$ 
  - for any monoid **in SG**, the problem is **in  $O(\log \log n)$**
  - for any monoid **not in SG**, it is **in  $\Omega(\log n / \log \log n)$**  (lower bound of Skovbjerg Frandsen et al.)

# Our results on the dynamic word problem for monoids

**ZG**: in  $O(1)$

**SG**: in  $O(\log \log n)$   
not in  $O(1)$ ?

All: in  $\Theta(\log n / \log \log n)$

- We identify the class **ZG** satisfying  $x^{\omega+1}y = yx^{\omega+1}$ :
  - for any monoid **in ZG**, the problem is **in  $O(1)$**
  - for any monoid **not in ZG**, we can reduce from a problem that we **conjecture is not in  $O(1)$**
- We identify the class **SG** satisfying  $x^{\omega+1}yx^{\omega} = x^{\omega}yx^{\omega+1}$ 
  - for any monoid **in SG**, the problem is **in  $O(\log \log n)$**
  - for any monoid **not in SG**, it is **in  $\Omega(\log n / \log \log n)$**  (lower bound of Skovbjerg Frandsen et al.)
- The problem is always in  **$O(\log n / \log \log n)$**

## Results on the dynamic membership problem for regular languages

**QLZG:** in  $O(1)$

**QSG:** in  $O(\log \log n)$   
not in  $O(1)$ ?

All: in  $\Theta(\log n / \log \log n)$

Our results extend to regular language classes called **QLZG** and **QSG**

→ We define them in the sequel

## Results on monoids

---

# $O(1)$ upper bound for monoids

## Theorem

The dynamic word problem for *commutative monoids* is in  $O(1)$

## Algorithm:

- **Count** the number  $n_m$  of occurrences of each element  $m$  of  $M$  in  $w$
- **Maintain** the counts  $n_m$  under updates
- **Evaluate** the product as  $\prod_{m \in M} m^{n_m}$  in  $O(1)$

## $O(1)$ upper bound for monoids

### Theorem

The dynamic word problem for *commutative monoids* is in  $O(1)$

### Algorithm:

- **Count** the number  $n_m$  of occurrences of each element  $m$  of  $M$  in  $w$
- **Maintain** the counts  $n_m$  under updates
- **Evaluate** the product as  $\prod_{m \in M} m^{n_m}$  in  $O(1)$

### Lemma (Closure under monoid variety operations)

The *submonoids*, *direct products*, *quotients* of tractable monoids are also tractable

## $O(1)$ upper bound for monoids (cont'd)

### Theorem

The monoids  $S^1$  where we add an identity to a *nilpotent semigroup*  $S$  are in  $O(1)$

**Idea of the proof:** consider  $e^*ae^*be^*$



## $O(1)$ upper bound for monoids (cont'd)

### Theorem

The monoids  $S^1$  where we add an identity to a **nilpotent semigroup**  $S$  are in  $O(1)$

**Idea of the proof:** consider  $e^*ae^*be^*$

- **Preprocessing:** prepare a **doubly-linked list**  $L$  of the positions containing  $a$ 's and  $b$ 's
- **Maintain** the (unsorted) list when  $a$ 's and  $b$ 's are added/removed
- **Evaluation:**
  - If there are not exactly two positions in  $L$ , answer **no**
  - Otherwise, check that the **smallest position** of these two is an  $a$  and the **largest** is a  $b$

## $O(1)$ upper bound for monoids (cont'd)

### Theorem

The monoids  $S^1$  where we add an identity to a **nilpotent semigroup**  $S$  are in  $O(1)$

**Idea of the proof:** consider  $e^*ae^*be^*$

- **Preprocessing:** prepare a **doubly-linked list**  $L$  of the positions containing  $a$ 's and  $b$ 's
- **Maintain** the (unsorted) list when  $a$ 's and  $b$ 's are added/removed
- **Evaluation:**
  - If there are not exactly two positions in  $L$ , answer **no**
  - Otherwise, check that the **smallest position** of these two is an  $a$  and the **largest** is a  $b$

This technique applies to monoids where we intuitively need to track **a constant number of non-neutral elements**

## $O(1)$ upper bound for monoids (end)

Call **ZG** the variety of monoids satisfying  $x^{\omega+1}y = yx^{\omega+1}$  for all  $x, y$

- Elements of the form  $x^{\omega+1}$  are those belonging to a **subgroup** of the monoid
- This includes in particular all **idempotents** ( $xx = x$ )
- The  $x^{\omega+1}$  are **central**: they commute with all other elements

## $O(1)$ upper bound for monoids (end)

Call **ZG** the variety of monoids satisfying  $x^{\omega+1}y = yx^{\omega+1}$  for all  $x, y$

- Elements of the form  $x^{\omega+1}$  are those belonging to a **subgroup** of the monoid
- This includes in particular all **idempotents** ( $xx = x$ )
- The  $x^{\omega+1}$  are **central**: they commute with all other elements

### **Lemma**

**ZG** is exactly the monoids obtainable from **commutative monoids** and **monoids of the form  $S^1$**  for a nilpotent semigroup  $S$  via the **monoid variety operators**

### **Theorem**

The dynamic word problem for monoids in **ZG** is **in  $O(1)$**

## $O(\log \log n)$ upper bound for monoids

Call **SG** the variety of monoids satisfying  $x^{\omega+1}yx^{\omega} = x^{\omega}yx^{\omega+1}$  for all  $x, y$

→ **Intuition:** we can **swap** the elements of any given subgroup of the monoid

### Examples:

- All **ZG monoids** (where elements  $x^{\omega+1}$  commute with everything)
- All **group-free monoids** (where subgroups are trivial)
- **Products** of **ZG** monoids and group-free monoids

## $O(\log \log n)$ upper bound for monoids

Call **SG** the variety of monoids satisfying  $x^{\omega+1}yx^{\omega} = x^{\omega}yx^{\omega+1}$  for all  $x, y$

→ **Intuition**: we can **swap** the elements of any given subgroup of the monoid

### Examples:

- All **ZG monoids** (where elements  $x^{\omega+1}$  commute with everything)
- All **group-free monoids** (where subgroups are trivial)
- **Products** of **ZG** monoids and group-free monoids

### Theorem

*The dynamic word problem for monoids in **SG** is in  $O(\log \log n)$*

**Tools**: induction on  **$\mathcal{J}$ -classes**, Rees-Sushkevich theorem, Van Emde Boas trees

## Lower bounds

All lower bounds reduce from the **prefix problem** for some language  $L$ :

- Maintain a word under **substitution updates**
- Answer queries asking if a **given prefix** of the current word is in  $L$

## Lower bounds

All lower bounds reduce from the **prefix problem** for some language  $L$ :

- Maintain a word under **substitution updates**
- Answer queries asking if a **given prefix** of the current word is in  $L$

Specifically:

- **Prefix- $\mathbb{Z}_d$** : for  $\Sigma = \{0, \dots, d-1\}$ , does the input prefix **sum to 0 modulo  $d$** ?  
→ Known **lower bound** of  $\Omega(\log n / \log \log n)$
- **Prefix- $U_1$** : for  $\Sigma = \{0, 1\}$ , does the queried prefix **contain a 0**?  
→ We **conjecture** that this cannot be done in  $O(1)$



## Lower bounds

All lower bounds reduce from the **prefix problem** for some language  $L$ :

- Maintain a word under **substitution updates**
- Answer queries asking if a **given prefix** of the current word is in  $L$

Specifically:

- **Prefix- $\mathbb{Z}_d$** : for  $\Sigma = \{0, \dots, d-1\}$ , does the input prefix **sum to 0 modulo  $d$** ?  
→ Known **lower bound** of  $\Omega(\log n / \log \log n)$
- **Prefix- $U_1$** : for  $\Sigma = \{0, 1\}$ , does the queried prefix **contain a 0**?  
→ We **conjecture** that this cannot be done in  $O(1)$

### Theorem (Lower bounds on a monoid $M$ )

- If  $M$  is **not in SG**, then for some  $d \in \mathbb{N}$  the **Prefix- $\mathbb{Z}_d$**  problem reduces to the dynamic word problem for  $M$
- If  $M$  is **in  $\text{SG} \setminus \text{ZG}$** , then **Prefix- $U_1$**  reduces to the dynamic word problem for  $M$

## **Results on languages (via semigroups)**

---

# From monoids to semigroups

- **Semigroup**: like a monoid but possibly without a neutral element
- **Dynamic word problem for semigroups**: defined like for monoids

What is the difference?

- The language  $\Sigma^*(ae^*a)\Sigma^*$  on  $\Sigma = \{a, b, e\}$  has a **neutral letter**  $e$  that we intuitively need to “**jump over**”
- The language  $\Sigma^*aa\Sigma^*$  on  $\Sigma = \{a, b\}$  without  $e$  can be **maintained in  $O(1)$**  by counting the factors  $aa$

## Submonoids in semigroups

- A **submonoid** of a semigroup  $S$  is a subset of  $S$  that has a **neutral element**
  - If  $S$  has a submonoid  $M$  then the dynamic word problem for  $M$  **reduces** to  $S$
  - **Lower bounds** on  $M$  thus apply to  $S$

# Submonoids in semigroups

- A **submonoid** of a semigroup  $S$  is a subset of  $S$  that has a **neutral element**
  - If  $S$  has a submonoid  $M$  then the dynamic word problem for  $M$  **reduces** to  $S$
  - **Lower bounds** on  $M$  thus apply to  $S$
- Hence, we define:
  - **LSG**: all submonoids are **in SG**
    - We show **LSG = SG** and extend our bounds to **semigroups in SG**

# Submonoids in semigroups

- A **submonoid** of a semigroup  $S$  is a subset of  $S$  that has a **neutral element**
  - If  $S$  has a submonoid  $M$  then the dynamic word problem for  $M$  **reduces** to  $S$
  - **Lower bounds** on  $M$  thus apply to  $S$
- Hence, we define:
  - **LSG**: all submonoids are **in SG**
    - We show **LSG = SG** and extend our bounds to **semigroups in SG**
  - **LZG**: all submonoids are **in ZG**
    - We have **LZG  $\neq$  ZG** and show bounds for **semigroups in LZG**

# From semigroups to languages

We now move back to **dynamic membership for regular languages**

- Dynamic membership for a regular language  $L$  is like the dynamic word problem for its **syntactic semigroup**
  - This is like the transition monoid but without the **neutral element**
- **Difference:** not all elements of the syntactic semigroup can be achieved as **one letter**

→ We use instead the **stable semigroup**, which intuitively groups letters together into **blocks** of a constant size

## From semigroups to languages (cont'd)

Call **QLZG** and **QSG** the languages whose *stable semigroup* is in **LZG** and **SG**

### Theorem

*Our results on **semigroups** in **SG** and **LZG** extend to **regular languages** in **QSG** and **QLZG***



## From semigroups to languages (cont'd)

Call **QLZG** and **QSG** the languages whose **stable semigroup** is in **LZG** and **SG**

### Theorem

Our results on **semigroups** in **SG** and **LZG** extend to **regular languages** in **QSG** and **QLZG**

For any regular language  $L$ :

- If  $L$  is **in QLZG** then dynamic membership is **in  $O(1)$**
- If  $L$  is **in QSG \ QLZG** then dynamic membership is **in  $O(\log \log n)$**  and has a reduction **from prefix- $U_1$**
- If  $L$  is **not in QSG** then dynamic membership is **in  $\Theta(\log n / \log \log n)$**

## **Conclusion and future work**

---

## Summary

We have shown a (conditional) **trichotomy** on the dynamic word problem for **monoids and semigroups**, and on dynamic membership for **regular languages**

## Summary

We have shown a (conditional) **trichotomy** on the dynamic word problem for **monoids and semigroups**, and on dynamic membership for **regular languages**

- Can one show a **superconstant lower bound** on **prefix- $U_1$** ?  
→ **Help welcome!** but new techniques probably needed

# Summary

We have shown a (conditional) **trichotomy** on the dynamic word problem for **monoids and semigroups**, and on dynamic membership for **regular languages**

- Can one show a **superconstant lower bound** on **prefix- $U_1$** ?  
→ **Help welcome!** but new techniques probably needed
- What about **intermediate cases** between  $O(1)$  and  $O(\log \log n)$ 
  - Yes with **randomization**: one language in  $\Theta(\log \log n)$  and one in  $O(\sqrt{\log \log n})$
  - **Question**: can the intermediate classes be **characterized**?

# Summary

We have shown a (conditional) **trichotomy** on the dynamic word problem for **monoids and semigroups**, and on dynamic membership for **regular languages**

- Can one show a **superconstant lower bound** on **prefix- $U_1$** ?  
→ **Help welcome!** but new techniques probably needed
- What about **intermediate cases** between  $O(1)$  and  $O(\log \log n)$ 
  - Yes with **randomization**: one language in  $\Theta(\log \log n)$  and one in  $O(\sqrt{\log \log n})$
  - **Question**: can the intermediate classes be **characterized**?
- **Meta-dichotomy**: what is the complexity of finding which case occurs?  
→ Probably **PSPACE-complete** (depends on the representation)

# Summary

We have shown a (conditional) **trichotomy** on the dynamic word problem for **monoids and semigroups**, and on dynamic membership for **regular languages**

- Can one show a **superconstant lower bound** on **prefix- $U_1$** ?  
→ **Help welcome!** but new techniques probably needed
- What about **intermediate cases** between  $O(1)$  and  $O(\log \log n)$ 
  - Yes with **randomization**: one language in  $\Theta(\log \log n)$  and one in  $O(\sqrt{\log \log n})$
  - **Question**: can the intermediate classes be **characterized**?
- **Meta-dichotomy**: what is the complexity of finding which case occurs?  
→ Probably **PSPACE-complete** (depends on the representation)
- What about a dichotomy for the **prefix problem** or **infix problem**?  
→ We have such a result but **inelegant characterization**

# Summary

We have shown a (conditional) **trichotomy** on the dynamic word problem for **monoids and semigroups**, and on dynamic membership for **regular languages**




- Can one show a **superconstant lower bound** on **prefix- $U_1$** ?  
→ **Help welcome!** but new techniques probably needed
- What about **intermediate cases** between  $O(1)$  and  $O(\log \log n)$ 
  - Yes with **randomization**: one language in  $\Theta(\log \log n)$  and one in  $O(\sqrt{\log \log n})$
  - **Question**: can the intermediate classes be **characterized**?
- **Meta-dichotomy**: what is the complexity of finding which case occurs?  
→ Probably **PSPACE-complete** (depends on the representation)
- What about a dichotomy for the **prefix problem** or **infix problem**?  
→ We have such a result but **inelegant characterization**
- What about languages that are **non-regular**?



# Summary

We have shown a (conditional) **trichotomy** on the dynamic word problem for **monoids and semigroups**, and on dynamic membership for **regular languages**

- Can one show a **superconstant lower bound** on **prefix- $U_1$** ?  
→ **Help welcome!** but new techniques probably needed
- What about **intermediate cases** between  $O(1)$  and  $O(\log \log n)$ 
  - Yes with **randomization**: one language in  $\Theta(\log \log n)$  and one in  $O(\sqrt{\log \log n})$
  - **Question**: can the intermediate classes be **characterized**?
- **Meta-dichotomy**: what is the complexity of finding which case occurs?  
→ Probably **PSPACE-complete** (depends on the representation)
- What about a dichotomy for the **prefix problem** or **infix problem**?  
→ We have such a result but **inelegant characterization**
- What about languages that are **non-regular**?

-  Fredman, M. and Saks, M. (1989).  
**The cell probe complexity of dynamic data structures.**  
In *STOC*, pages 345–354.
-  Patrascu, M. (2008).  
**Lower bound techniques for data structures.**  
PhD thesis, Massachusetts Institute of Technology.
-  Skovbjerg Frandsen, G., Miltersen, P. B., and Skyum, S. (1997).  
**Dynamic word problems.**  
*JACM*, 44(2):257–271.