# **Exercise sheet for Session 2**

## Uncertain data management

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# 1 Exercise 1.

Consider a database schema that consists of the following tables:

- Class(class, date, teacher, room), indicating the planned classes
- Sick(teacher, date), indicating the dates at which a teacher is sick
- Unavail(teacher, date), indicating when a teacher is more generally unavailable
- Closed(date), indicating dates at which the entire school is closed
- Canceled(**class**, **date**), the occurrences of classes that have to be canceled.

Remember that a *tuple-generating dependency* is a rule of the form:

$$\forall \mathbf{x} \ \phi(\mathbf{x}) \Rightarrow \exists \mathbf{y} \ \psi(\mathbf{x}, \mathbf{y})$$

where  $\phi$  and  $\psi$  are conjunctions of atoms. An *inclusion dependency* is a tuple-generating dependency where  $\phi$  and  $\psi$  consist of a single atom without repeated variables.

**Question 1.** Write tuple-generating dependencies to express the following:

- When the school is closed, all planned classes on that day are canceled
- When a teacher is sick on a day, then they are unavailable on that day
- When a teacher is unavailable on a day, all classes that they planned to give on that day are canceled

#### Answer.

- $\phi_1 : \forall c \, dt \, r \; Class(c, d, t, r) \land Closed(d) \Rightarrow Canceled(c, d)$
- $\phi_2 : \forall dt \; Sick(t, d) \Rightarrow Unavail(t, d)$
- $\phi_3: \forall c \, dt \, r \; Class(c, d, t, r) \land Unavail(t, d) \Rightarrow Canceled(c, d)$

#### **Question 2.** Which of these tuple-generating dependencies are inclusion dependencies?

**Answer.**  $\phi_2$  is an inclusion dependency, the others are not.

**Question 3.** Write a conjunctive query Q that asks which classes are canceled on November 28th. (Do not assume that the Canceled table only contains classes; only return answers that occur in the Class table.) Write it in the relational calculus, and in the relational algebra.

Answer. In the relational calculus:

Q(c):  $\exists t \ r \ Class(c, "Nov \ 28", t, r) \land Canceled(c, "Nov \ 28")$ 

In the relational algebra:

 $\Pi_{\mathbf{class}} \left( \sigma_{\mathbf{date}="Nov 28"} \left( Class \bowtie Canceled \right) \right)$ 

**Question 4.** Consider the database instance that contains the following facts:

- John is sick on November 28th
- The class with class "UDM" is taught by Antoine on November 28th in room C017
- The class with **class** "FOO" is taught by John on November 28th in room C42
- The class with class "UDM" is taught by Antoine on December 5th in room C47
- The school is closed on December 5th

Construct the chase of this instance by the dependencies of Question 1.

**Answer.** The result of the chase is as follows, with the facts added in the chase in bold (and the facts of the original instance in non-bold):

Sick		Unavail		Class				Closed	Canceled	
teacher	date	t eacher	date	id	date	t eacher	room	date	id	date
John	Nov 28	John	Nov 28	FOO	Nov 28	Antoine John Antoine	C42	Dec 5	FOO UDM	Nov 28 Dec 5

**Question 5.** Evaluate *Q* on the chase. What can we deduce from this?

**Answer.** The matches of Q on the chase are the single tuple ("FOO"). Hence, we know that Q("FOO") is entailed by the constraints and instance.

**Question 6.** The chase in Question 4 was finite. Would the chase by the dependencies of Question 1 be finite for any database instance? Why, or why not?

**Answer.** The chase will always be finite, because the tuple-generating dependencies do not have existential quantifiers. Hence, the domain of the chase is always the same as that of the initial instance.

**Question 7.** Rewrite the query Q (in the relational calculus) to a union of conjunctive queries Q' such that, for any instance, Q' holds on the instance iff Q is entailed by the instance and the dependencies of Question 1.

Answer. We rewrite Q to the following disjuncts:

 $Q_{1}(c) : \exists t \ r \ Class(c, "Nov \ 28", t, r) \land (\exists t' \ r' \ Class(c, "Nov \ 28", t', r') \land Closed("Nov \ 28"))$   $Q_{2}(c) : \exists t \ r \ Class(c, "Nov \ 28", t, r) \land (\exists t' \ r' \ Class(c, "Nov \ 28", t', r') \land Unavail(t', "Nov \ 28"))$   $Q_{3}(c) : \exists t \ r \ Class(c, "Nov \ 28", t, r) \land (\exists t' \ r' \ Class(c, "Nov \ 28", t', r') \land Sick(t', "Nov \ 28"))$ 

These disjuncts can be equivalently simplified as follows:

 $Q_1(c) : \exists t \ r \ Class(c, "Nov \ 28", t, r) \land Closed("Nov \ 28")$  $Q_2(c) : \exists t \ r \ Class(c, "Nov \ 28", t, r) \land Unavail(t, "Nov \ 28")$  $Q_3(c) : \exists t \ r \ Class(c, "Nov \ 28", t, r) \land Sick(t, "Nov \ 28")$ 

We obtain:

$$Q'(c) := Q(c) \lor Q_1(c) \lor Q_2(c) \lor Q_3(c)$$

## 2 Exercise 2.

Consider a database schema that consists of the following tables:

- Jedi(**jedi**), indicating the list of known Jedis
- Teach(master, padawan), indicating which Jedi trained which Jedi
- Light(jedi), indicating which Jedis are on the light side of the force
- Dark(jedi), indicating which Jedis are on the dark side of the force

**Question 1.** Write tuple-generating dependencies  $\Sigma$  that express the following:

- Anybody on the light side of the force is a Jedi
- Likewise for anybody on the dark side of the force
- If a master teaches a padawan, then both are Jedis
- Every Jedi was taught by some master
- Whenever some padawan is on the light side of the force and was taught by a master, then the master is on the dark side of the force.
- Conversely, when a padawan is on the dark side of the force, any master is on the light side of the force.

### Answer.

- $\phi_1: \forall j \; Light(j) \Rightarrow Jedi(j)$
- $\phi_2: \forall j \; Dark(j) \Rightarrow Jedi(j)$
- $\phi_3: \forall j \ j' \ Teach(j,j') \Rightarrow Jedi(j) \land Jedi(j')$
- $\phi_4: \forall j \; Jedi(j) \Rightarrow \exists j' \; Teach(j',j)$
- $\phi_5: \forall j \ j' \ Teach(j, j') \land Light(j') \Rightarrow Dark(j)$
- $\phi_6: \forall j j' \; Teach(j, j') \land Dark(j') \Rightarrow Light(j)$

**Question 2.** Which one of these dependencies are inclusion dependencies? Which ones can be rewritten to be inclusion dependencies?

**Answer.**  $\phi_1$ ,  $\phi_2$ , and  $\phi_4$  are inclusion dependencies.  $\phi_3$  is not an inclusion dependency, but can be rewritten to two inclusion dependencies:

$$\phi_3^1 : \forall j \, j' \; Teach(j, j') \Rightarrow Jedi(j) \phi_3^2 : \forall j \, j' \; Teach(j, j') \Rightarrow Jedi(j')$$

**Question 3.** Consider the instance I where the Jedis are Obi-wan (light side) and Anakin (dark side), and the first taught the second. Is the chase of this instance by  $\Sigma$  finite? Why? Is the chase accurate with respect to the Star Wars movies?

**Answer.** Let us show that the chase is infinite. We show by induction that, at any step of the chase, there is a fact F = Teach(a, b) such that  $a \neq b$  and F is the only Teach fact where a occurs:

- The claim is true on the initial instance, as witnessed by the following fact: Teach("Obi-wan", "Anakin").
- Assume that the claim is true after n chase rounds, and let us show that it is still true after n + 1 chase rounds. Consider the witnessing fact Teach(a, b) after n chase rounds. If we do not create any Teach fact in the round where a occurs, then there is nothing to show. If we do, it must have been because we applied  $\phi_4$  to the fact Jedi(a) (which must then hold). But then, the fact that we create is Teach(z, a), where z is a null value that occurs only in that fact and at that position. This new fact witnesses that the claim is still true after n + 1 chase rounds.

Assuming now by way of contradiction that the chase terminates after a finite number n of rounds, considering the witnessing fact Teach(a, b) that the result of the chase must contain, as the result of the chase satisfies  $\phi_3$ , we know that Jedi(a) holds we know by the above reasoning that  $\phi_4$  applies to it. Hence, the result of the chase does not satisfy  $\phi_4$ , a contradiction.

The chase is not accurate with respect to Star Wars, because Obi-Wan's only known master (Qui-Gon) is on the light side of the force, yet the chase asserts that Obi-Wan has a master on the dark side of the force.

**Question 4.** Is there an instance I whose chase by  $\Sigma$  is finite?

**Answer.** Letting I be the empty instance, the chase of I by  $\Sigma$  is I itself, which is finite.

**Question 5.** Write a conjunctive query Q that asks whether a dark Jedi trained a dark Jedi. Write it both in the relational algebra and in the relational calculus.

Answer. In the relational calculus:

 $Q(): \exists j \ j' \ Teach(j, j') \land Dark(j) \land Dark(j')$ 

In the relational algebra:

$$\Pi_{\emptyset}\left(\left(\rho_{\mathbf{jedi}\mapsto\mathbf{master}}(\textit{Dark})\times\rho_{\mathbf{jedi}\mapsto\mathbf{padawan}}(\textit{Dark})\right)\bowtie\textit{Teach}\right)$$

**Question 6.** Is Q entailed by the instance I and tuple-generating dependencies  $\Sigma$ ? Why (not)?

**Answer.** Q is not entailed by I and  $\Sigma$ .

Indeed, we can show by induction that the chase is an infinite line graph of the form Teach( "Obi-Wan", "Anakin"), Teach $(z_1, "Obi-Wan")$ , Teach $(z_2, z_1)$ , Teach $(z_3, z_2)$ , etc., and that Light(a) holds iff a is "Obi-Wan" or is  $z_i$  with even i, and conversely Dark(a) holds iff a is "Anakin" or is  $z_i$  with odd i. Hence, the query Q does not have a match in the chase of I by  $\Sigma$ . This implies that Q is not entailed by I and  $\Sigma$ 

**Question 7.** Is there an instance I' where Q does not hold, but such that I' entails Q under  $\Sigma$ ?

Answer. The instance I' consisting of the following facts satisfies the conditions:

- Teach(a, b)
- Dark(b)
- Light(b)

**Question 8.** Write a formula in first-order logic that asserts that a Jedi either follows the light side or the dark side, but not both. Can this be expressed as a tuple-generating dependency?

Answer. A formula to express this is:

 $\phi: \forall x \ (Dark(x) \lor Light(x)) \land \neg (Dark(x) \land Light(x))$ 

We cannot express  $\phi$  as a tuple-generating dependency.