

# Provenance Circuits for Trees and Treelike Instances

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## General idea

- We consider a **query** and a **relational instance**
- Often it is not **sufficient** to merely **evaluate the query**:
  - We need **quantitative information**
  - We need the link from the **output** to the **input data**

## General idea

- We consider a **query** and a **relational instance**
  - Often it is not **sufficient** to merely **evaluate the query**:
    - We need **quantitative information**
    - We need the link from the **output** to the **input data**
- Compute **query provenance**!

## Example 1: security for a conjunctive query

Consider the **conjunctive query**:  $\exists xyz R(x, y) \wedge R(y, z)$ .

<i>R</i>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>

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- **Result:** true

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<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	Public
<i>b</i>	<i>c</i>	Secret
<i>d</i>	<i>e</i>	Confidential
<i>e</i>	<i>d</i>	Confidential
<i>f</i>	<i>f</i>	Top secret

- **Result:** true
- Add **security annotations:** Public, Confidential, Secret, Top secret, Never available

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- What is the minimal **security clearance** required?

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<i>d</i>	<i>e</i>	Confidential
<i>e</i>	<i>d</i>	Confidential
<i>f</i>	<i>f</i>	Top secret

- **Result**: true
  - Add **security annotations**: Public, Confidential, Secret, Top secret, Never available
  - What is the minimal **security clearance** required?
- **Result**: Confidential

## Example 2: bag queries

Consider again:  $\exists xyz R(x, y) \wedge R(y, z)$ .

<i>R</i>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>

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<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>

- **Result:** true

## Example 2: bag queries

Consider again:  $\exists xyz R(x, y) \wedge R(y, z)$ .

<hr/>		
<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**

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<i>R</i>		
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<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

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<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

→ **Result:** 1

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<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

→ **Result:** 1 + 1

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<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1
<hr/>		

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

→ **Result:** 1 + 1 + 1

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<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
  - Add **multiplicity annotations**
  - How many **query matches?**
- **Result:** 1 + 1 + 1 + 1

## Example 2: bag queries

Consider again:  $\exists xyz R(x, y) \wedge R(y, z)$ .

<hr/>		
<i>R</i>		
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<i>a</i>	<i>b</i>	1
<i>b</i>	<i>c</i>	1
<i>d</i>	<i>e</i>	1
<i>e</i>	<i>d</i>	1
<i>f</i>	<i>f</i>	1

- **Result:** true
- Add **multiplicity annotations**
- How many **query matches?**

→ **Result:**  $1 + 1 + 1 + 1 = 4$

## Example 3: uncertain facts

Consider again:  $\exists xyz R(x, y) \wedge R(y, z)$ .

<hr/>	
<i>R</i>	
<hr/>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>
<hr/>	

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<hr/>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>d</i>
<i>f</i>	<i>f</i>
<hr/>	

- **Result:** true

## Example 3: uncertain facts

Consider again:  $\exists xyz R(x, y) \wedge R(y, z)$ .

<hr/>		
<i>R</i>		
<hr/>		
<i>a</i>	<i>b</i>	<i>f</i> <sub>1</sub>
<i>b</i>	<i>c</i>	<i>f</i> <sub>2</sub>
<i>d</i>	<i>e</i>	<i>f</i> <sub>3</sub>
<i>e</i>	<i>d</i>	<i>f</i> <sub>4</sub>
<i>f</i>	<i>f</i>	<i>f</i> <sub>5</sub>

- **Result:** true
- Assume facts are **uncertain**, give them **atomic annotations**

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<i>a</i>	<i>b</i>	<i>f</i> <sub>1</sub>
<i>b</i>	<i>c</i>	<i>f</i> <sub>2</sub>
<i>d</i>	<i>e</i>	<i>f</i> <sub>3</sub>
<i>e</i>	<i>d</i>	<i>f</i> <sub>4</sub>
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- **Result:** true
- Assume facts are **uncertain**, give them **atomic annotations**
- For **which subinstances** does the query hold?

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<i>b</i>	<i>c</i>	<i>f</i> <sub>2</sub>
<i>d</i>	<i>e</i>	<i>f</i> <sub>3</sub>
<i>e</i>	<i>d</i>	<i>f</i> <sub>4</sub>
<i>f</i>	<i>f</i>	<i>f</i> <sub>5</sub>

- **Result:** true
  - Assume facts are **uncertain**, give them **atomic annotations**
  - For **which subinstances** does the query hold?
- **Result:** (*f*<sub>1</sub>  $\wedge$  *f*<sub>2</sub>)

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<i>b</i>	<i>c</i>	<i>f</i> <sub>2</sub>
<i>d</i>	<i>e</i>	<i>f</i> <sub>3</sub>
<i>e</i>	<i>d</i>	<i>f</i> <sub>4</sub>
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- **Result:** true
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- **Result:**  $(f_1 \wedge f_2) \vee (f_3 \wedge f_4)$

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→ **Result:**  $(f_1 \wedge f_2) \vee (f_3 \wedge f_4) \vee f_5$

## Example 4: the universal semiring $\mathbb{N}[X]$

- Consider again:  $\exists xyz R(x, y) \wedge R(y, z)$ .
- Annotate **input facts** with atomic annotations  $X = f_1, \dots, f_n$
- **Most general semiring**:  $\mathbb{N}[X]$  of polynomials on  $X$

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<i>b</i>	<i>c</i>	<i>f</i> <sub>2</sub>
<i>d</i>	<i>e</i>	<i>f</i> <sub>3</sub>
<i>e</i>	<i>d</i>	<i>f</i> <sub>4</sub>
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→ **Result**:  $(f_1 \otimes f_2)$

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$R$		
$a$	$b$	$f_1$
$b$	$c$	$f_2$
$d$	$e$	$f_3$
$e$	$d$	$f_4$
$f$	$f$	$f_5$

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$e$	$d$	$f_4$
$f$	$f$	$f_5$

→ **Result**:  $(f_1 \otimes f_2) \oplus (f_3 \otimes f_4)$

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$a$	$b$	$f_1$
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→ **Result:**  $(f_1 \otimes f_2) \oplus (f_3 \otimes f_4) \oplus (f_4 \otimes f_3) \oplus (f_5 \otimes f_5)$

## Specialization and homomorphisms

- All these examples can be **captured** using semirings:
  - **security** semiring  $(K, \min, \max, \text{Public}, \text{Never available})$
  - **bag** semiring  $(\mathbb{N}, +, \times, 0, 1)$
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# Specialization and homomorphisms

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- Computing  $\mathbb{N}[X]$  provenance **subsumes** all tasks
- It can be done in **PTIME** data complexity for CQs

# Provenance and probability

- Probabilistic query evaluation:
  - Fixed CQ  $q$ , and input:

<hr/>		
$R$		
<hr/>		
$a$	$b$	0.6
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→ **Use** the provenance (here, PosBool[X])

# Trees and treelike instances

- **Idea:** restrict the instances to **trees** and **treelike instances**
  - **Tree decomposition** of an instance: cover all facts
  - **Treewidth:** minimal width (bag size) of a decomposition
    - **Trees** have treewidth **1**
    - **Cycles** have treewidth **2**
    - **$k$ -cliques** and  **$k$ -grids** have treewidth  **$k - 1$**
  - **Treelike:** the **treewidth** is bounded by a **constant**

## Problem statement

- Many tasks have tractable **data complexity** on **treelike instances**:
  - **MSO query evaluation** is **linear** [Courcelle et al., 2001]
  - **MSO result counting** is **linear** [Arnborg et al., 1991]
  - **Probability evaluation** is **linear** for **trees** [Cohen et al., 2009]
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  - (**MSO** covers relational algebra, UCQs, monadic Datalog...)
- Can we **explain** this tractability with provenance?
  - **Idea**: queries on treelike instances have treelike provenance?
- Can we **extend** tractability to more quantitative tasks?
- Can we define and compute provenance for **MSO**?

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- 1 Introduction
- 2 PosBool[X]-provenance**
- 3  $\mathbb{N}[X]$ -provenance
- 4 Conclusion

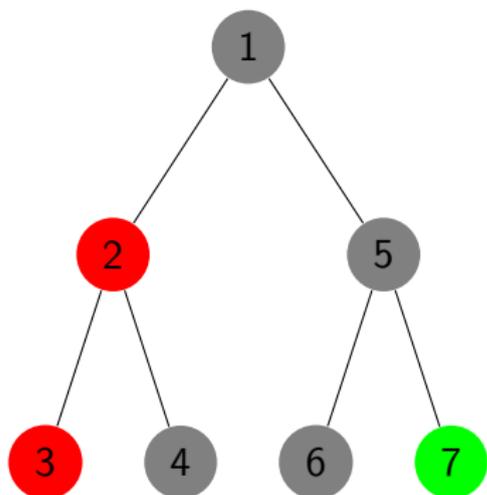
## General idea

- PosBool[X]-provenance on **trees** and **treelike instances**
- The world of **trees**:
  - **Query**: MSO on trees
- The world of **treelike instances**:
  - **Query**: MSO on the instance
  - **Reduces to trees** [Courcelle et al., 2001]

# General idea

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  - The world of **treelike instances**:
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    - **Reduces to trees** [Courcelle et al., 2001]
- Start with PosBool[X]-provenance for queries on **trees**

# Uncertain trees



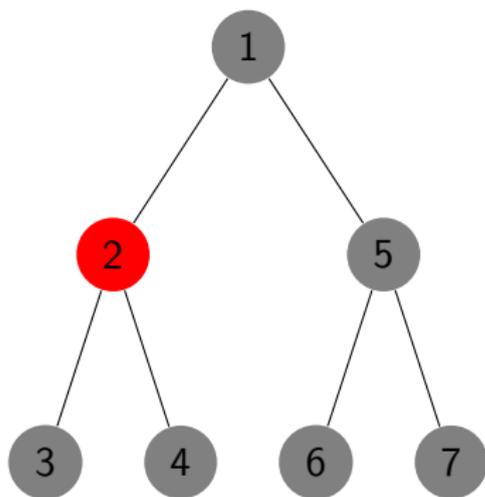
Query: “Is there both a red and green node?”

A **valuation** of a tree decides whether to **keep** or **discard** node labels.

Keep: {1, 2, 3, 4, 5, 6, 7}

The query is **true**

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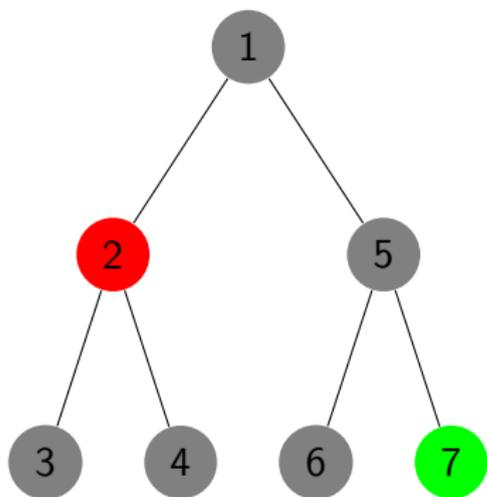
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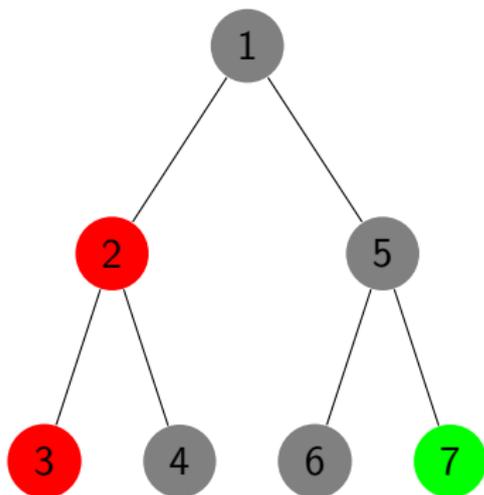
A **valuation** of a tree decides whether to **keep** or **discard** node labels.

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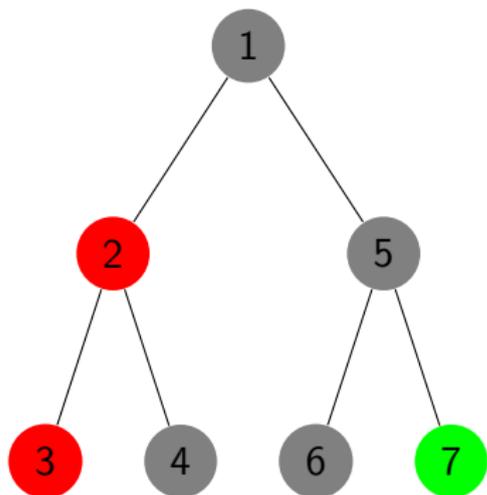
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# Provenance circuits

- $X = \{g_1, g_2, g_3, g_4, g_5, g_6, g_7\}$
  - PosBool[X]-provenance of a query  $q$  on tree  $T$ :
    - monotone Boolean formula  $\phi$
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iff  $\nu(\phi)$  is true



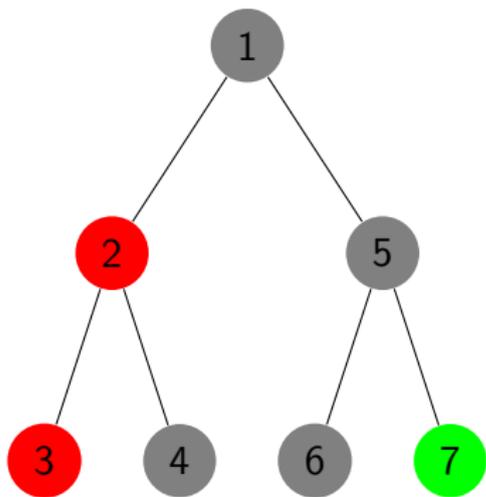
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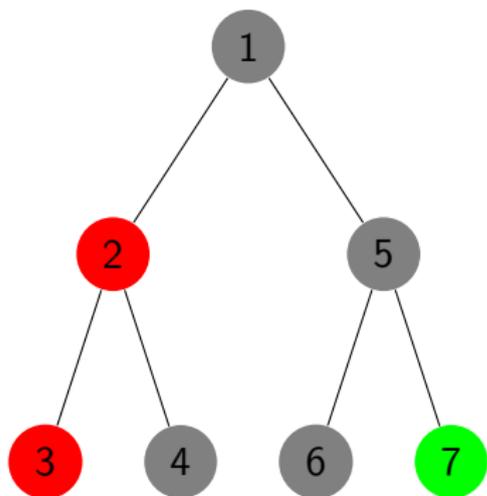
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- Represent as a circuit [Deutch et al., 2014]
  - monotone Boolean circuit  $C$
  - with input gates  $X$
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# Example

- Query: is there both a red and a green node?

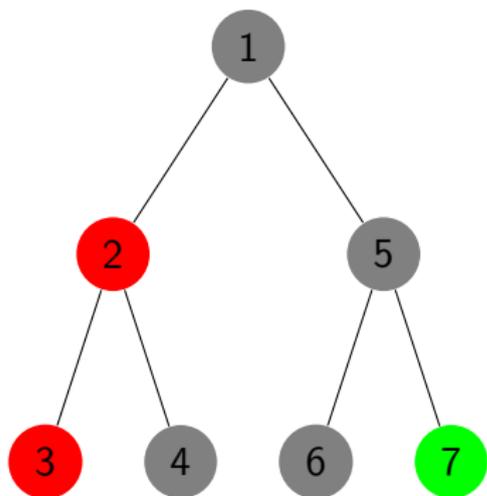


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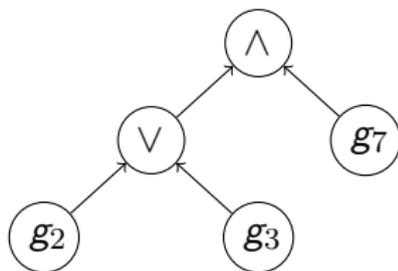


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## Our results on trees

- A PosBool[X] provenance circuit of a MSO query  $q$  on a tree:
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- Let's **extend this** to treelike instances!

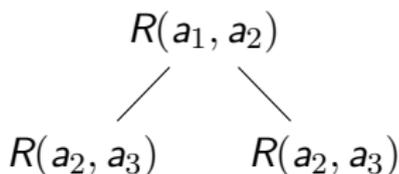
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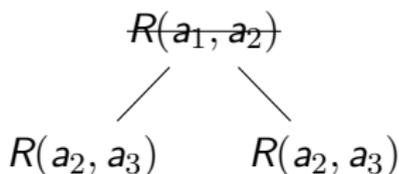
<b>R</b>	
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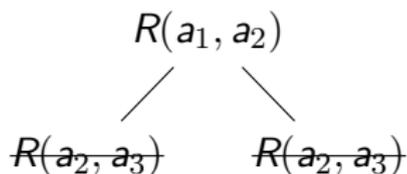
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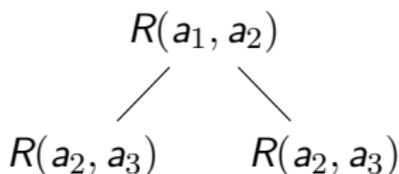
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- Covers many known **probabilistic data models**
  - We can reduce **counting** to probabilistic evaluation
- Re-proves that **MSO counting** has **linear-time** data complexity

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## First problem: non-monotone queries

- We want to **generalize** from PosBool[X] to  $\mathbb{N}[X]$
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- Provenance circuits for **monotone queries** can be **monotone**

## Second problem: intrinsic definition

- Boolean provenance has an **intrinsic definition**:  
“Characterize which subinstances satisfy the query”
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How is  $\mathbb{N}[X]$  **more expressive** than  $\text{PosBool}[X]$ ?

- **Coefficients:** counting multiple derivations
- **Exponents:** using facts multiple times

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→ What **fails** for MSO/Datalog?

- **Unbounded** maximal multiplicity
- **Logical** definition of fact multiplicity?

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# Summary

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- **Applications:**
  - Capture **counting results**  
(decouple symbolic and numerical computation)
  - Extend to **new applications** (probabilities)

## Future work

- **Monadic Datalog** [Gottlob et al., 2010] to avoid high combined complexity
- A **neater approach** for counting and probabilities
- Extend  $\mathbb{N}[X]$  **beyond CQs** (e.g., formal series, multiplicities)
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Thanks for your attention!

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## Semiring provenance [Green et al., 2007]

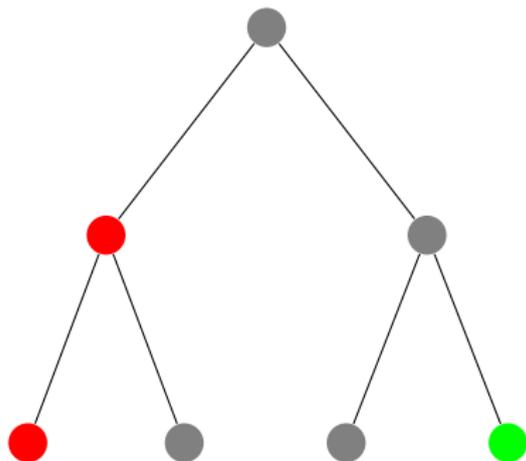
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- Idea: Maintain **annotations** on tuples while evaluating:
  - **Union**: annotation is the **sum** of union tuples
  - **Select**: select as usual
  - **Project**: annotation is the **sum** of projected tuples
  - **Product**: annotation is the **product**

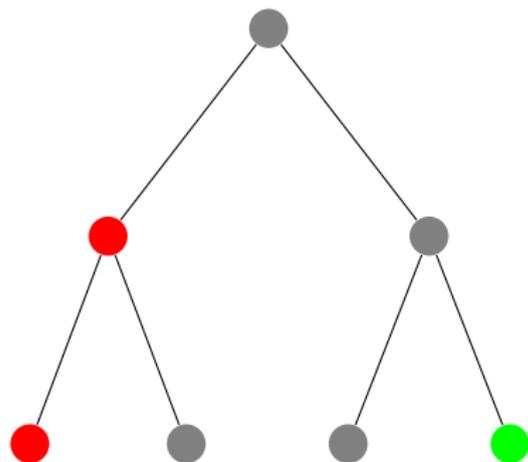
# Tree automata

Tree alphabet: ● ● ●



# Tree automata

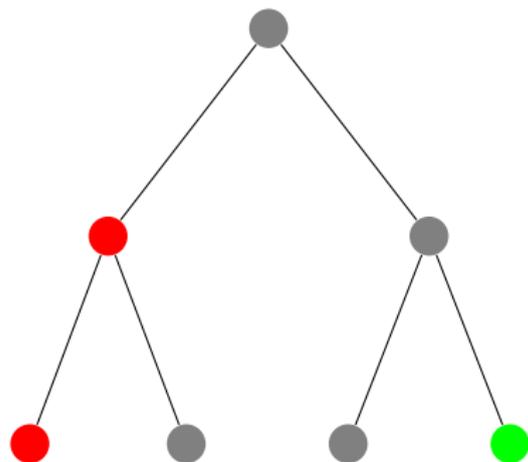
Tree alphabet: ● ● ●



- **bNTA**: bottom-up nondeterministic tree automaton
- “Is there both a red and green node?”

# Tree automata

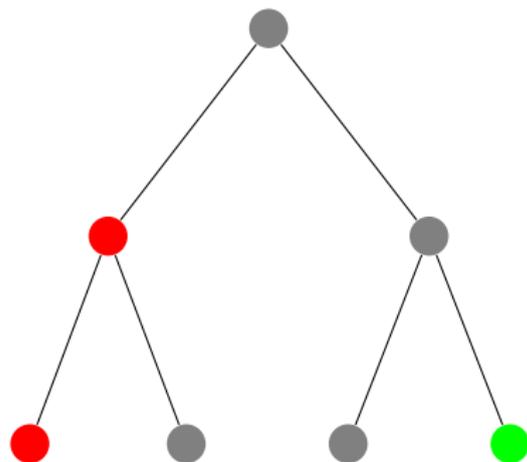
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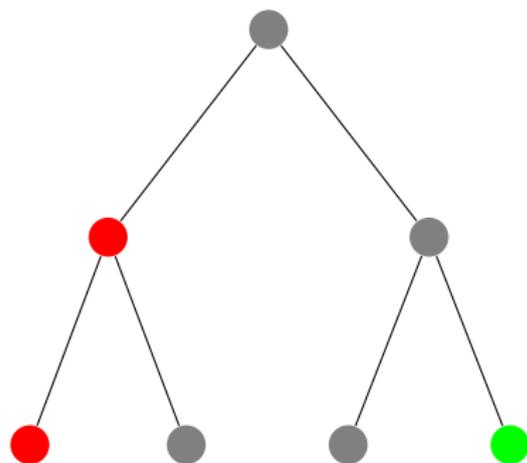
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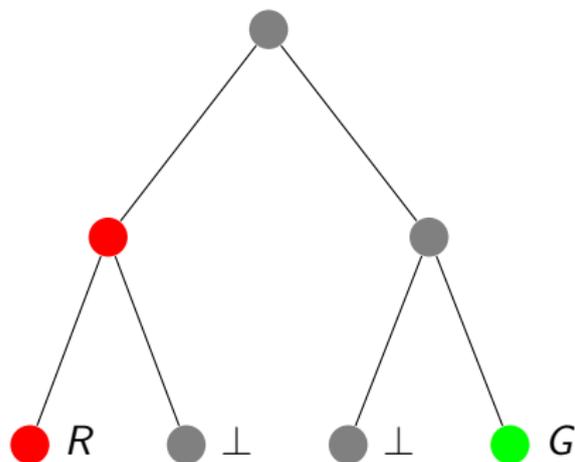
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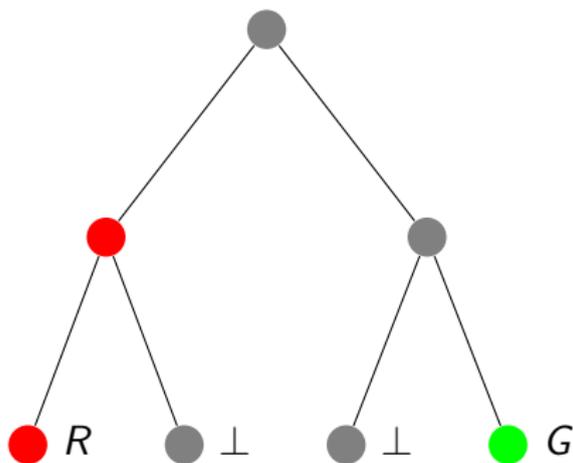
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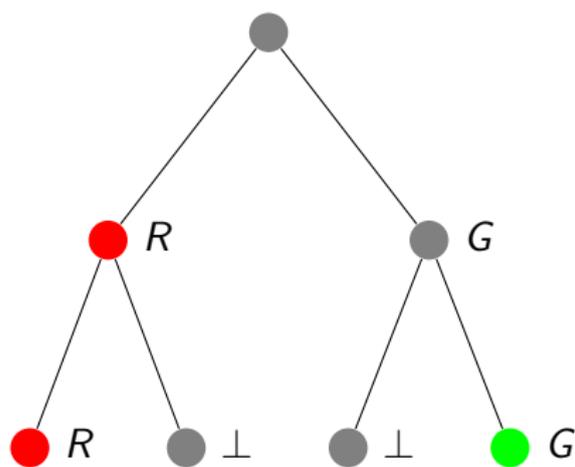


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- **Transitions** (examples):



# Tree automata

Tree alphabet:   

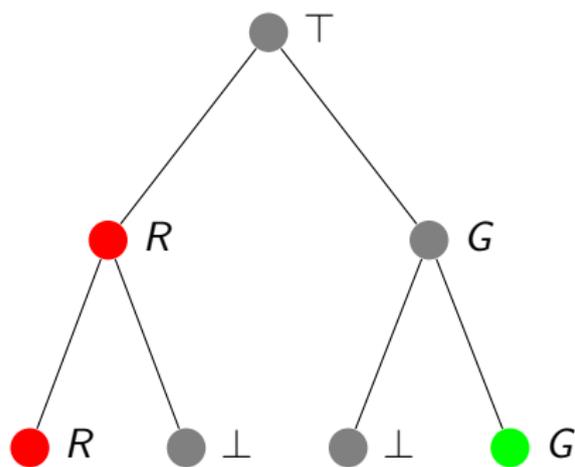


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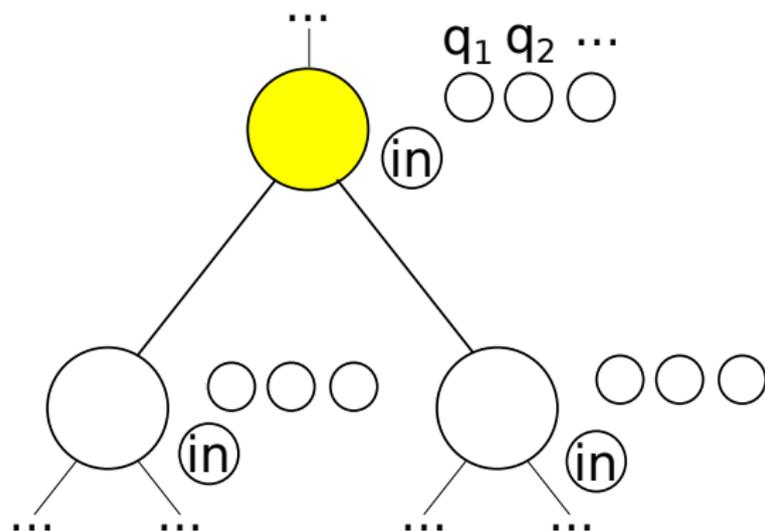
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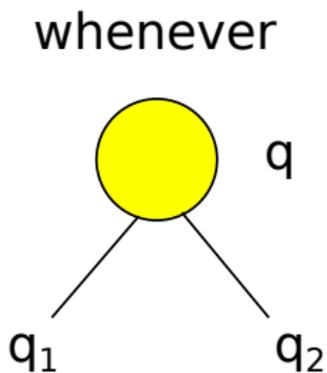
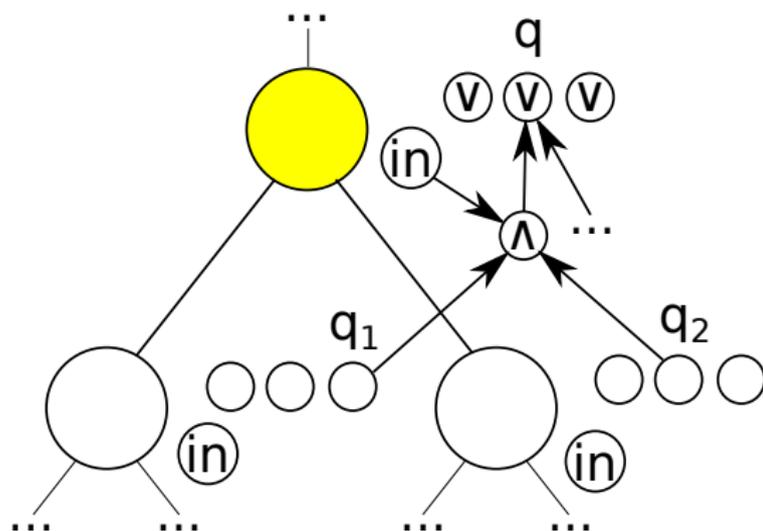
## Constructing the provenance circuit

→ Construct a Boolean provenance circuit **bottom-up**



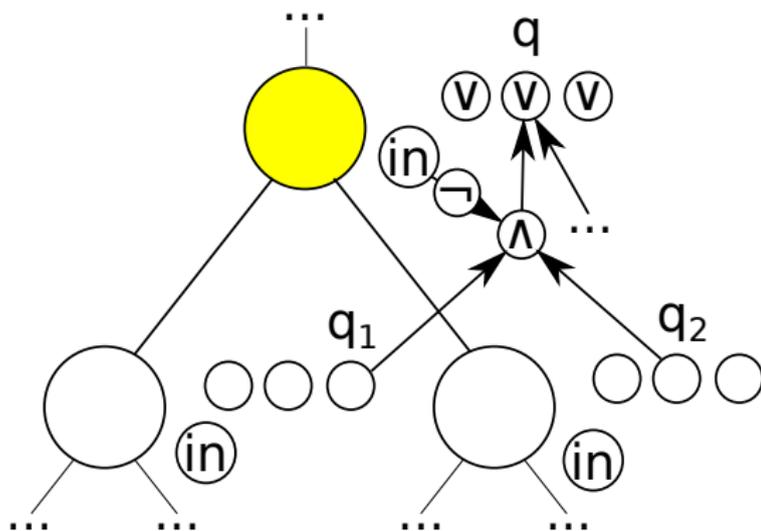
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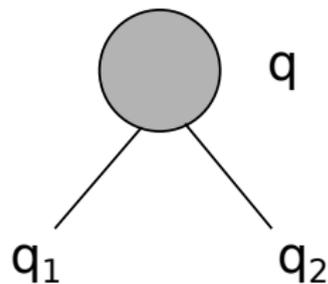


# Constructing the provenance circuit

→ Construct a Boolean provenance circuit **bottom-up**



whenever



# Encoding treelike instances [Chaudhuri and Vardi, 1992]

Instance:

---

**N**

---

*a* *b*

*b* *c*

*c* *d*

*d* *e*

*e* *f*

---

---

**S**

---

*a* *c*

*b* *e*

---

# Encoding treelike instances [Chaudhuri and Vardi, 1992]

Instance:

---

<b>N</b>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>c</i>	<i>d</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>f</i>

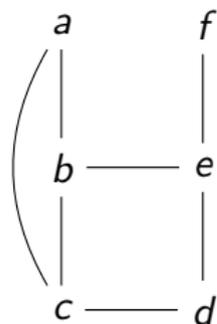
---

---

<b>S</b>	
<i>a</i>	<i>c</i>
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---

Gaifman graph:



# Encoding treelike instances [Chaudhuri and Vardi, 1992]

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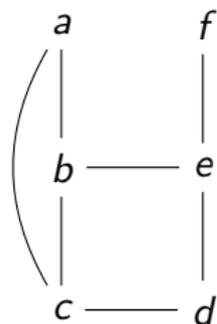
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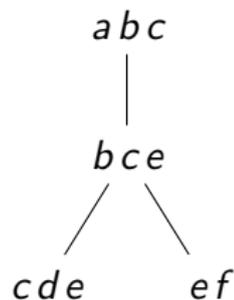
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Tree decomp.:



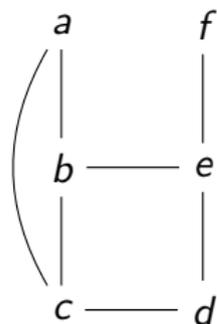
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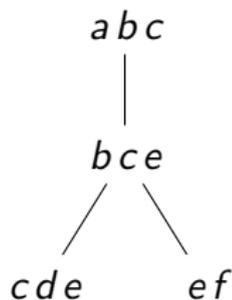
<b>N</b>	
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>
<i>c</i>	<i>d</i>
<i>d</i>	<i>e</i>
<i>e</i>	<i>f</i>

<b>S</b>	
<i>a</i>	<i>c</i>
<i>b</i>	<i>e</i>

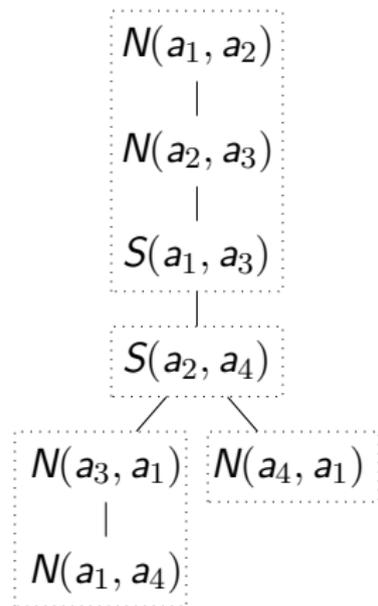
Gaifman graph:



Tree decomp.:



Tree encoding:



## Example: block-independent disjoint (BID) instances

<b><u>name</u></b>	<b>city</b>	<b>iso</b>	<b><i>p</i></b>
pods	melbourne	au	0.8
pods	sydney	au	0.2
icalp	tokyo	jp	0.1
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- Evaluating a fixed CQ is **#P-hard** in general  
→ For a **treelike** instance, **linear time**!

# Supporting coefficients

- In the world of **trees**
  - The same **valuation** can be accepted **multiple times**
    - Number of **accepting runs** of the bNTA
- In the world of **treelike instances**
  - The same **match** can be the image of **multiple homomorphisms**

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      - Number of **accepting runs** of the bNTA
  - In the world of **treelike instances**
    - The same **match** can be the image of **multiple homomorphisms**
- Add **assignment facts** to represent possible assignments
- Encode to a bNTA that **guesses them**

# Supporting exponents

- In the world of **trees**
  - The same **fact** can be used **multiple times**
  - Annotate nodes with a **multiplicity**
  - The bNTA is **monotone** for that **multiplicity**
  - Use each **input gate** as many times as we read its fact
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  - In the world of **treelike instances**
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    - **Maximal multiplicity** is query-dependent but **instance-independent**
- Encodes CQs to bNTAs that read **multiplicities**
- Consider all possible CQ **self-homomorphisms**
  - Count the multiplicities of **identical atoms**
  - Rewrite relations to **add multiplicities**
  - Usual compilation on the **modified signature**