



# Dynamic Membership for Regular Languages

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## Problem: dynamic membership for regular languages

- Fix a **regular language**  $L$ 
  - E.g.,  $L = (ab)^*$
- Read an **input word**  $w$  with  $n := |w|$ 
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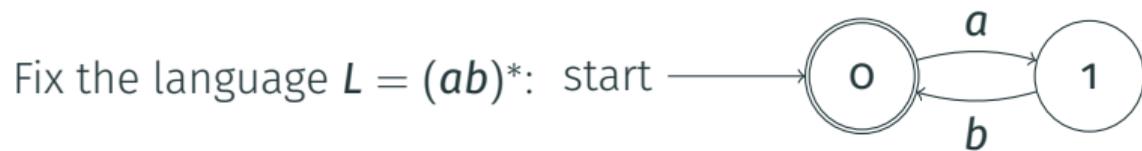
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→ E.g., we have  $w \notin L$
- **Maintain** the membership of  $w$  to  $L$  under **substitution updates**  
→ E.g., replace character at position 3 with  $a$ : we now have  $w \in L$

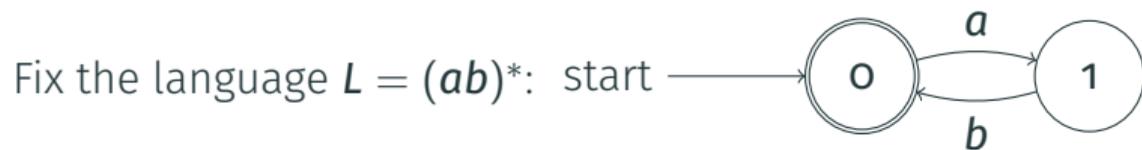
# Design choices

- Model: **RAM model**
  - Cell size in  $\Theta(\log(n))$
  - Unit-cost arithmetics
- Updates: **only substitutions** (so  $n$  never changes)
  - Otherwise, already **tricky** to maintain the current state of the word
- Memory usage: always **polynomial in  $n$**  by definition of the model
  - Our upper bounds only **need  $O(n)$  space**
  - The lower bounds apply **without this assumption**
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## A general-purpose algorithm in $O(\log n)$

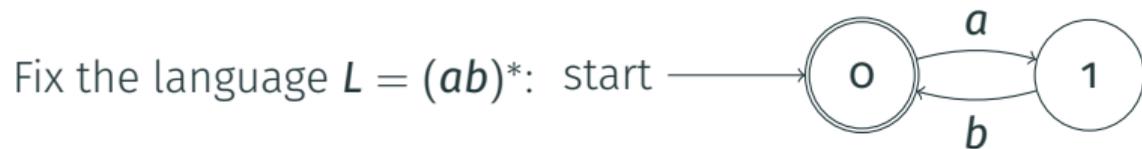


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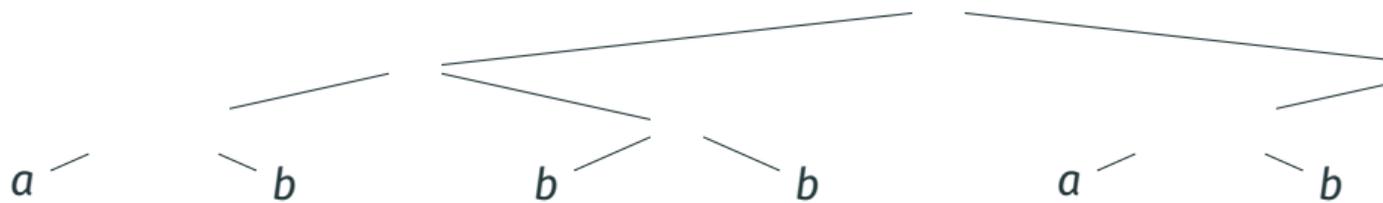


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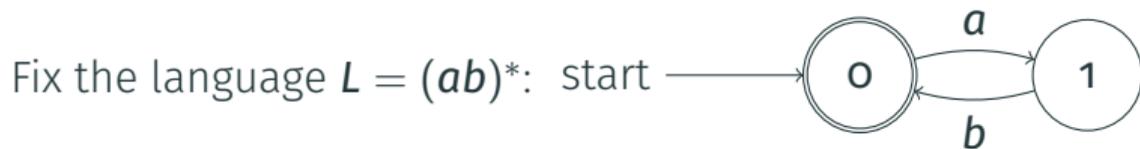
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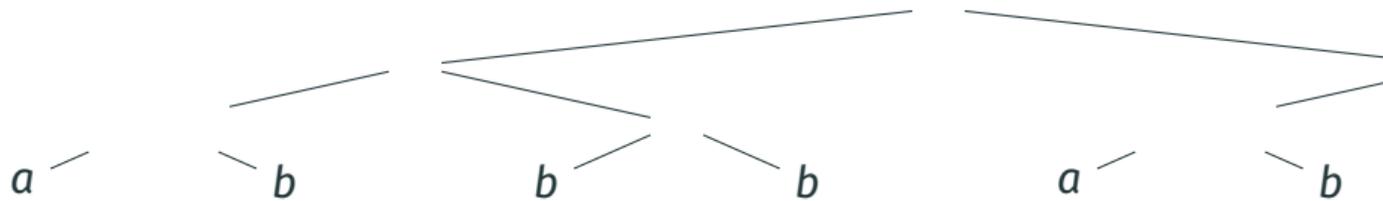
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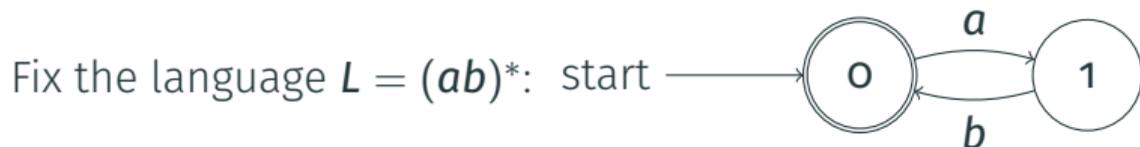
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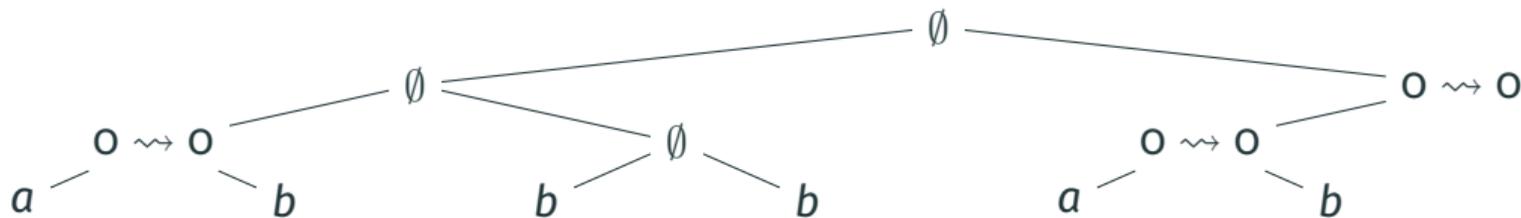
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- Label each node  $n$  by the **transition monoid** element: all pairs  $q \rightsquigarrow q'$  such that we can go from  $q$  to  $q'$  by reading the factor below  $n$



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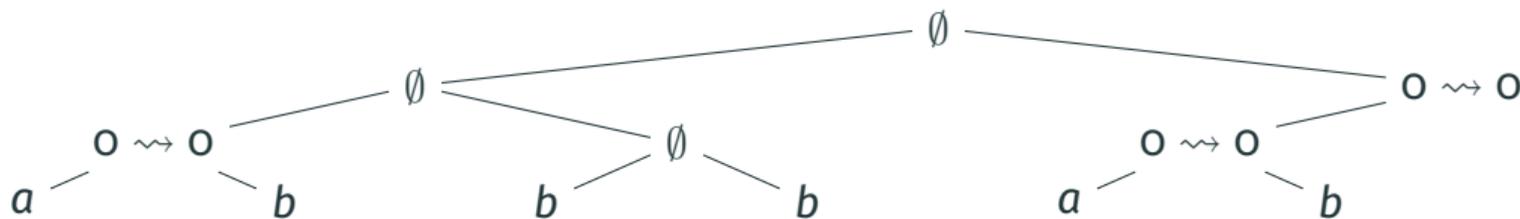
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- The **tree root** describes if  $w \in L$
- We can update the tree for each substitution **in  $O(\log n)$**
- Can be improved to  **$O(\log n / \log \log n)$**  with a log-ary tree

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Question: **what is the complexity of dynamic membership**, depending on the fixed regular language  $L$ ?

# Dynamic word problem for monoids

To answer the question, we study the **dynamic word problem for monoids**:

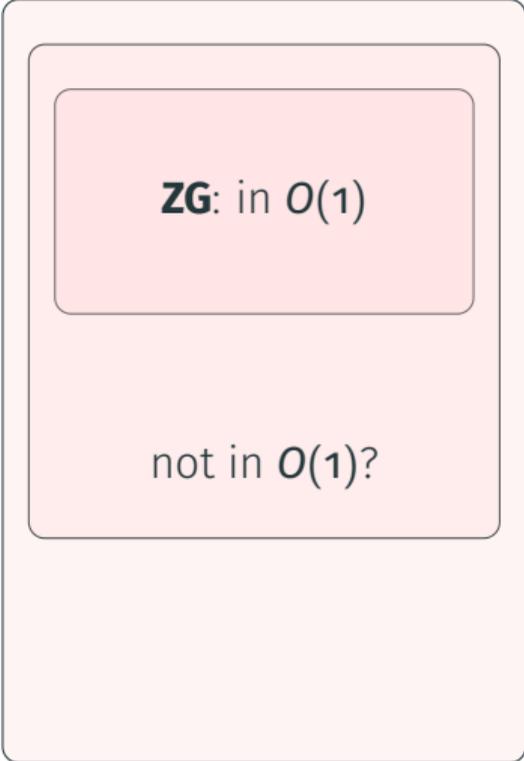
- Problem definition:
  - Fix a **monoid**  $M$  (set with associative law and neutral element)
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  - **Input**: word  $w$  of elements of  $M$
  - Maintain the **product** of the elements under substitution updates
- This is a **special case** of dynamic membership for regular languages
  - e.g., it assumes that there is a **neutral element**
- This problem was studied by [Skovbjerg Frandsen et al., 1997]:
  - in  $O(1)$  for **commutative monoids**
  - in  $O(\log \log n)$  for **group-free monoids**
  - in  $\Theta(\log n / \log \log n)$  for a certain class of monoids

## Our results on the dynamic word problem for monoids



**ZG**: in  $O(1)$

not in  $O(1)$ ?

- We identify the class **ZG** satisfying  $x^{\omega+1}y = yx^{\omega+1}$ :
  - for any monoid **in ZG**, the problem is **in  $O(1)$**
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- The problem is always in  **$O(\log n / \log \log n)$**

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**QLZG:** in  $O(1)$

**QSG:** in  $O(\log \log n)$   
not in  $O(1)$ ?

All: in  $\Theta(\log n / \log \log n)$

Our results extend to regular language classes called **QLZG** and **QSG**

→ We define them in the sequel

## Results on monoids

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# $O(1)$ upper bound for monoids

## Theorem

The dynamic word problem for *commutative monoids* is in  $O(1)$

## Algorithm:

- **Count** the number  $n_m$  of occurrences of each element  $m$  of  $M$  in  $w$
- **Maintain** the counts  $n_m$  under updates
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## Lemma (Closure under monoid variety operations)

The *submonoids*, *direct products*, *quotients* of tractable monoids are also tractable

## $O(1)$ upper bound for monoids (cont'd)

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The monoids  $S^1$  where we add an identity to a *nilpotent semigroup*  $S$  are in  $O(1)$

**Idea of the proof:** consider  $e^*ae^*be^*$

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  - If there are not exactly two positions in  $L$ , answer **no**
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This technique applies to monoids where we intuitively need to track **a constant number of non-neutral elements**

## $O(1)$ upper bound for monoids (end)

Call **ZG** the variety of monoids satisfying  $x^{\omega+1}y = yx^{\omega+1}$  for all  $x, y$

- Elements of the form  $x^{\omega+1}$  are those belonging to a **subgroup** of the monoid
- This includes in particular all **idempotents** ( $xx = x$ )
- The  $x^{\omega+1}$  are **central**: they commute with all other elements

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### **Lemma**

**ZG** is exactly the monoids obtainable from **commutative monoids** and **monoids of the form  $S^1$**  for a nilpotent semigroup  $S$  via the **monoid variety operators**

### **Theorem**

The dynamic word problem for monoids in **ZG** is **in  $O(1)$**

## $O(\log \log n)$ upper bound for monoids

Call **SG** the variety of monoids satisfying  $x^{\omega+1}yx^{\omega} = x^{\omega}yx^{\omega+1}$  for all  $x, y$

→ **Intuition:** we can **swap** the elements of any given subgroup of the monoid

### Examples:

- All **ZG monoids** (where elements  $x^{\omega+1}$  commute with everything)
- All **group-free monoids** (where subgroups are trivial)
- **Products** of **ZG** monoids and group-free monoids

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### Theorem

*The dynamic word problem for monoids in **SG** is in  $O(\log \log n)$*

**Tools**: induction on  **$\mathcal{J}$ -classes**, Rees-Sushkevich theorem, Van Emde Boas trees

## Lower bounds

All lower bounds reduce from the **prefix problem** for some language  $L$ :

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- **Prefix- $\mathbb{Z}_d$** : for  $\Sigma = \{0, \dots, d-1\}$ , does the input prefix **sum to 0 modulo  $d$** ?  
→ Known **lower bound** of  $\Omega(\log n / \log \log n)$
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### Theorem (Lower bounds on a monoid $M$ )

- If  $M$  is **not in SG**, then for some  $d \in \mathbb{N}$  the **Prefix- $\mathbb{Z}_d$**  problem reduces to the dynamic word problem for  $M$
- If  $M$  is **in  $\text{SG} \setminus \text{ZG}$** , then **Prefix- $U_1$**  reduces to the dynamic word problem for  $M$

## Results on languages (via semigroups)

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# From monoids to semigroups

- **Semigroup**: like a monoid but possibly without a neutral element
- **Dynamic word problem for semigroups**: defined like for monoids

What is the difference?

- The language  $\Sigma^*(ae^*a)\Sigma^*$  on  $\Sigma = \{a, b, e\}$  has a **neutral letter**  $e$  that we intuitively need to “**jump over**”
- The language  $\Sigma^*aa\Sigma^*$  on  $\Sigma = \{a, b\}$  without  $e$  can be **maintained in  $O(1)$**  by counting the factors  $aa$

## Local monoids in semigroups

- A **local monoid** of a semigroup  $S$  is a subset of  $S$  that has a **neutral element**
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  - **LZG**: all local monoids are **in ZG**
    - We have **LZG  $\neq$  ZG** and show bounds for **semigroups in LZG**

# From semigroups to languages

We now move back to **dynamic membership for regular languages**

- Dynamic membership for a regular language  $L$  is like the dynamic word problem for its **syntactic semigroup**
    - This is like the transition monoid but without the **neutral element**
  - **Difference:** not all elements of the syntactic semigroup can be achieved as **one letter**
- We use instead the **stable semigroup**, which intuitively groups letters together into **blocks** of a constant size

## From semigroups to languages (cont'd)

Call **QLZG** and **QSG** the languages whose *stable semigroup* is in **ZG** and **SG**

### Theorem

*Our results on **semigroups** in **SG** and **LZG** extend to **regular languages** in **QSG** and **QLZG***

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For any regular language  $L$ :

- If  $L$  is **in QLZG** then dynamic membership is **in  $O(1)$**
- If  $L$  is not **in QSG \ QLZG** then dynamic membership is **in  $O(\log \log n)$**  and has a reduction **from prefix- $U_1$**
- If  $L$  is **not in QSG** then dynamic membership is **in  $\Theta(\log n / \log \log n)$**

## **Conclusion and future work**

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## $O(\log \log n)$ upper bound for monoids (proof sketch)

Example :  $\Sigma^*(ae^*a)\Sigma^*$  on  $\Sigma = \{a, b, e\}$

- **Idea:** maintain the count of factors  $ae^*a$
  - **Problem:** to do this, we need to “jump over” the  $e$ 's
- **Van Emde Boas tree** data structure:
- **maintain** a subset of  $\{1, \dots, n\}$  under **insertions/deletions**
  - **jump** to the **prev/next element** in  $O(\log \log n)$

**Full proof:** induction on  $\mathcal{J}$ -classes and **Rees-Sushkevich theorem**

## Extending SG to semigroups

We can show that, for semigroups:

### Lemma

A semigroup satisfies the equation of **SG** iff it is in **LSG**

Hence, as the algorithm for **SG** works for **semigroups** as well as monoids:

### Theorem

For any semigroup  $S$ :

- If  $S$  is **in SG**, then the dynamic word problem is **in  $O(\log \log n)$**
- Otherwise, the dynamic word problem is **in  $\Theta(\log n / \log \log n)$**

## Case of ZG

We have  $\mathbf{ZG} \neq \mathbf{LZG}$ , but we can still show:

### Theorem

For any semigroup  $S$ :

- If  $S$  is *in LZG*, then the dynamic word problem is *in  $O(1)$*
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**Proof sketch:** only need to show the **upper bound**:

- We show the  $O(1)$  upper bound on the **semidirect product  $\mathbf{ZG} * \mathbf{D}$**  of **ZG** with **definite semigroups**
- We show an independent **locality result:  $\mathbf{LZG} = \mathbf{ZG} * \mathbf{D}$** 
  - Technical proof relying on **finite categories** and **Straubing's delay theorem**

## Difference between the stable semigroup and syntactic semigroup

- Dynamic membership for  $(aa)^*ba^*$  is in  $O(1)$ : count the  $b$ 's at even and odd positions
- The dynamic word problem for its syntactic semigroup has a reduction from  $\mathbb{Z}_2$